

1 **Where do they live and what are the individual and contextual**
2 **factors predisposing children to severe acute malnutrition in sub-**
3 **Saharan Africa**
4

5 **Abstract**

6 **Introduction:** Reduction of malnutrition, especially severe acute malnutrition (SAM) is very
7 crucial, directly or indirectly, to a targeted decrease in child mortality and improvement in
8 maternal health. We aim to develop and test a model of risk factors associated with SAM
9 among under-five children in SSA countries.

10 **Methods:** We used 33 recent Demographic and Health Survey (DHS) data collected between
11 2010 and 2018 in SSA countries. We used multivariable Bayesian logistic multilevel regression
12 models to analyse the association between individual compositional and contextual factors
13 associated with SAM.

14 **Results:** We analysed information on 210,289 under-five children (Level 1) nested within
15 17,529 neighbourhoods (Level 2) from 33 SSA countries (Level 3). The prevalence SAM
16 ranged from 0.5% in South Africa to 8.8% in Nigeria. Male children, infants, and children from
17 rural areas, neighbourhoods with high illiteracy and high unemployment rates and those from
18 countries with high intensity of deprivation and high rural population percentage were more
19 likely to have SAM.

20 **Conclusion:** Individual compositional and contextual factors were significantly associated
21 with SAM.

22 **Keywords:** severe acute malnutrition, low and middle-income countries, Bayesian, Under five
23 children
24

25 **Introduction**

26 Malnutrition is one of the top killer diseases among under-five children in sub-Saharan Africa
27 (SSA) countries and accounts for about a third of preventable deaths among children (R. Black
28 et al., 2008; WHO, 2009a, 2019). Reduction of malnutrition, especially SAM is very crucial,
29 directly or indirectly, to a targeted decrease in child mortality and improvement in maternal
30 health (United Nations, 2015; WHO, 2019).

31 While the burden of SAM is higher among the SSA countries than in other countries,
32 inequalities exist in its distribution within these countries. The particular people affected by
33 SAM within the SSA countries and where they live in the SSA countries have not been
34 exploited. Rather than using “one cap fits all approach” in the implementation of an
35 intervention aimed at reducing the burden of SAM in these countries, the identification of the
36 most affected sub-population group and where they live will enable the adoption of appropriate
37 approaches that can substantially reduce the burden. The knowledge of this information and its
38 adoption could further reduce the case-fatality rate of SAM beyond the current 55% in hospital
39 settings as stipulated by WHO (WHO, 2019). The WHO had reported that the management of
40 severe acute malnutrition according to WHO guidelines in hospital settings use of ready-to-use
41 therapeutic foods reduce the fatality of SAM.

42 The UNICEF framework also identified the short-term consequences of malnutrition to include
43 mortality, morbidity and disability. The identified long term and intergenerational
44 consequences include adult height, cognitive ability, economic productivity, reproductive
45 performance, metabolic as well as cardiovascular diseases (UNICEF, 2013). A child with SAM
46 and other medical issues are more difficult to manage. The medical complications with
47 SAM are enormous. They include severe pneumonia, shock, dehydration, convulsions, blinding
48 eye signs, congestive heart failures, severe anaemia, hypoglycaemia, hypothermia, anorexia,
49 poor appetite, intractable vomiting. Hypothermia, high fever etc (R. E. Black, Laxminarayan,
50 Temmerman, Walker, & World Bank., 2016; Lenters, Wazny, & Bhutta, 2016).

51 While a number of studies (Abdulahi, Shab-Bidar, Rezaei, & Djafarian, 2017; Akombi et al.,
52 2017; Fantay, Mekonnen, Haftom, Oumer, & Afework, 2019; Khan, Zaheer, & Safdar, 2019;
53 Meshram et al., 2012; Motedayen, Dousti, Sayehmiri, & Pourmahmoudi, 2019; Musoke &
54 Fergusson, 2011; Titaley, Ariawan, Hapsari, Muasyaroh, & Dibley, 2019) have addressed local
55 and regional level, distribution and risk factors of malnutrition in literature, we found no
56 published studies that had examined multilevel inter-connected contextual factors associated

57 with SAM globally especially among SSA countries. In this study, we considered the central
58 role of neighbourhoods in shaping tendencies of children to develop SAM. The goal of this
59 study was to develop and test a model of risk factors associated with SAM among U5C in SSA
60 countries using individual-level, neighbourhood- and country- level socio-economic factors.

61 **Methods**

62 **Study design and data**

63 We used sets of cross-sectional data obtained from Demographic and Health Surveys (DHS)
64 for this study. The DHS data are nationally representative household surveys and are conducted
65 in SSA countries. This study used data from 51 recent DHS surveys conducted between 2010
66 and 2018 and available as of March 2019 and that included under-five children (U5C)
67 anthropometry data. Typically, the DHS uses a multi-stage, stratified sampling design with
68 households as the sampling unit (Croft, Marshall, & Allen, 2018; ICF International, 2012).
69 Country-specific sampling methodologies are also available at dhsprogram.com and also
70 available in report forms (ICF International Inc., 2012; National Bureau of Statistics Tanzania
71 and ICF - Macro, 2011; National Population Commission (Nigeria) and ICF International.,
72 2014). Within each sampled household, all women and men meeting the eligibility criteria are
73 interviewed. Sampling weights are calculated to account for unequal selection probabilities
74 including non-response whose application makes survey findings represent the full target
75 populations. All the DHS questionnaires are standardized and implemented across countries
76 with similar interviewer training, supervision, and implementation protocols. In this study, we
77 used the DHS children recode data. The data covered health experiences of under-five children
78 born to sampled women within five years preceding the survey date. The anthropometry
79 measurements were taken using standard procedures.

80 **Dependent variable**

81 Our dependent variable is severe acute malnutrition. It was a composite score of children
82 weight and height. We generated z-scores using WHO-approved methodologies (WHO,
83 2009b) and categorized children with z-scores <3 standard deviation as having SAM.

84 **Independent variables**

85 There are three categories of explanatory variables

86 Individual-level factors: sex of the children (male versus female), children age in years (under
87 1 and 1-5 year), maternal age (15 to 24, 25 to 34, 35 to 49), maternal educational attainment
88 (no education, primary, secondary or higher); marital status (never, currently and formerly
89 married), occupation (working or not working), access to media, sources of drinking water
90 (improved or unimproved), toilet type (improved or unimproved), weight at birth (average+,
91 small and very small) birth interval (first born, <36 months and >36 months) and birth order
92 (1, 2, 3 and 4+). We used the DHS wealth index as a proxy indicator for socioeconomic status.
93 The methods used in computing DHS wealth index have been described previously(Vyass &
94 Kumaranayake, 2006).

95 Neighbourhood-level factors

96 In this study, the term “neighbourhood” was used to describe clustering within the same
97 geographical living environment. Neighbourhoods were based on sharing a common primary
98 sample unit (PSU) within the DHS data. The PSUs were identified using the most recent census
99 in each country where DHS was carried out. The neighbourhood-level factors included in the
100 models are place of residence (rural or urban), neighbourhood poverty-, illiteracy- and
101 unemployment rates. The neighbourhood factors were categorized into two (low and high)
102 each, to allow for non-linear effects and offer useful results for policy decisions. The median
103 values were used as the reference category for comparison.

104 Country-level factors

105 Country-level data were retrieved from the human index reports published by the United
106 Nations database (United Nations, 2018; United Nations Development Programme, 2018b). In
107 particular, we included countries’ percentage rural population(United Nations, 2018) and the
108 intensity of deprivation (United Nations Development Programme, 2018b). Both indicators
109 belong to the body of the human development index (HDI). The HDI was created by the United
110 Nations to emphasize “that people and their capabilities should be the ultimate criteria for
111 assessing the development of a country, not economic growth alone” (United Nations
112 Development Programme, 2018a). The HDI summarizes average achievement in long and
113 healthy life, being knowledgeable and have a decent standard of living dimensions of human
114 development(United Nations Development Programme, 2018a). The intensity of deprivation
115 is a measure of the average percentage of deprivation experienced by people in
116 multidimensional poverty while percentage rural population is a measure of the proportion of

117 a countries population that resides in rural areas. The two factors were categorized into two
118 (low and high) levels.

119 **Statistical analyses**

120 Descriptive statistics were used to show the distribution of respondents by country, dependent
121 and independent variables in percentages. Chi-square test of association was used to determine
122 the significance of the association between the independent variables and SAM (Table 1).

123 **Modelling approaches**

124 We used multivariable logistic multilevel regression models to analyse the association between
125 individual compositional and contextual factors associated with SAM. Using the 3-level model
126 for binary response specified above, with children who had SAM (at level 1), in a
127 neighbourhood (at level 2) living in a country (at level 3) (see Fig. 2). To arrive at a robust
128 model that will help identify risk factors of SAM bearing in mind the hierarchical structure of
129 the data, we constructed five models. The first model, (Model 1- an empty model) without any
130 explanatory variables, was specified to decompose the magnitude of variance that existed
131 between country and neighbourhood levels. The Model II contained only individual-level
132 factors, Model III has only neighbourhood-level factors, Model IV contained only the country-
133 level factors while Model V ((Full Model) which jointly controlled for all the individual-,
134 neighbourhood- and country-level factors. The multilevel regression model was executed in
135 the MLwinN software, version 3.03(Charlton, Rasbash, Browne, Healy, & Cameron, 2018).
136 Parameters were estimated using the Bayesian Markov Chain Monte Carlo (MCMC)
137 procedures (Browne, 2019) with the following specifications: Distribution: binomial; link:
138 logit, burning: 5000, chain: 50000 and refresh: 500.

139 **Fixed effects (measures of association)**

140 The results of fixed effects (measures of association) were reported as odds ratios (ORs) with
141 their 95% credible intervals (CrIs). The Bayesian statistical inference provides an opportunity
142 to summarize probability distributions for measures of association alongside the 95 % credible
143 intervals (95 % CrI), rather than 95 % confidence intervals (95 % CI) obtained in the frequentist
144 approach. A 95 % credible interval is easily interpreted as the 95 % probability that the
145 parameter takes a value in a particular range.

146 **Random effects (measures of variation)**

147 We also measured the likely contextual effects of the factors considered in the different levels
148 using the intraclass correlation (ICC) and median odds ratio (MOR). The ICC was used to
149 measure the similarity between respondents in the same neighbourhood and within the same
150 country. It estimates the percentage of the total variance in the probability of a child having
151 SAM that is related to the neighbourhood and country-level, i.e. measure of clustering of odds
152 of reporting cigarette smoking in the same neighbourhood and country. The ICC was calculated
153 by the linear threshold (latent variable method)(Snijders & Bosker, 2012). Adopting the
154 methods recommended by Larsen et. al. on neighbourhood effects(Larsen & Merlo, 2005), we
155 reported the random effects in terms of the odds. The MORs are the measures of the variance
156 of the odds ratio in higher levels (neighbourhood or country) and it estimates the probability of
157 having SAM that can be attributed to any of the neighbourhood and country factors. If MOR=1,
158 there is no neighbourhood or country variance. Conversely, the higher the MOR, the more
159 significant are the contextual effects for understanding the probability of having SAM. A
160 similar approach has been used in a similar setting in the literature (Uthman, 2016; Uthman et
161 al., 2018).

162 **Model fit and specifications**

163 Multicollinearity among explanatory variables was checked by examining the variance
164 inflation factor (VIF) (Tu, Kellett, Clerehugh, & Gilthorpe, 2005). All diagonal elements in the
165 variance-covariance (τ) matrix for correlation between -1 and 1 , and diagonal elements for any
166 elements close to zero. None of the results of the tests provided reasons for concern. Thus, the
167 models provide robust and valid results. The Bayesian Deviance Information Criterion (DIC)
168 was used to evaluate how well the different models considered in this study fitted the data. A
169 lower value on DIC indicates a better fit of the model.

170 **Results**

171 **Sample characteristics**

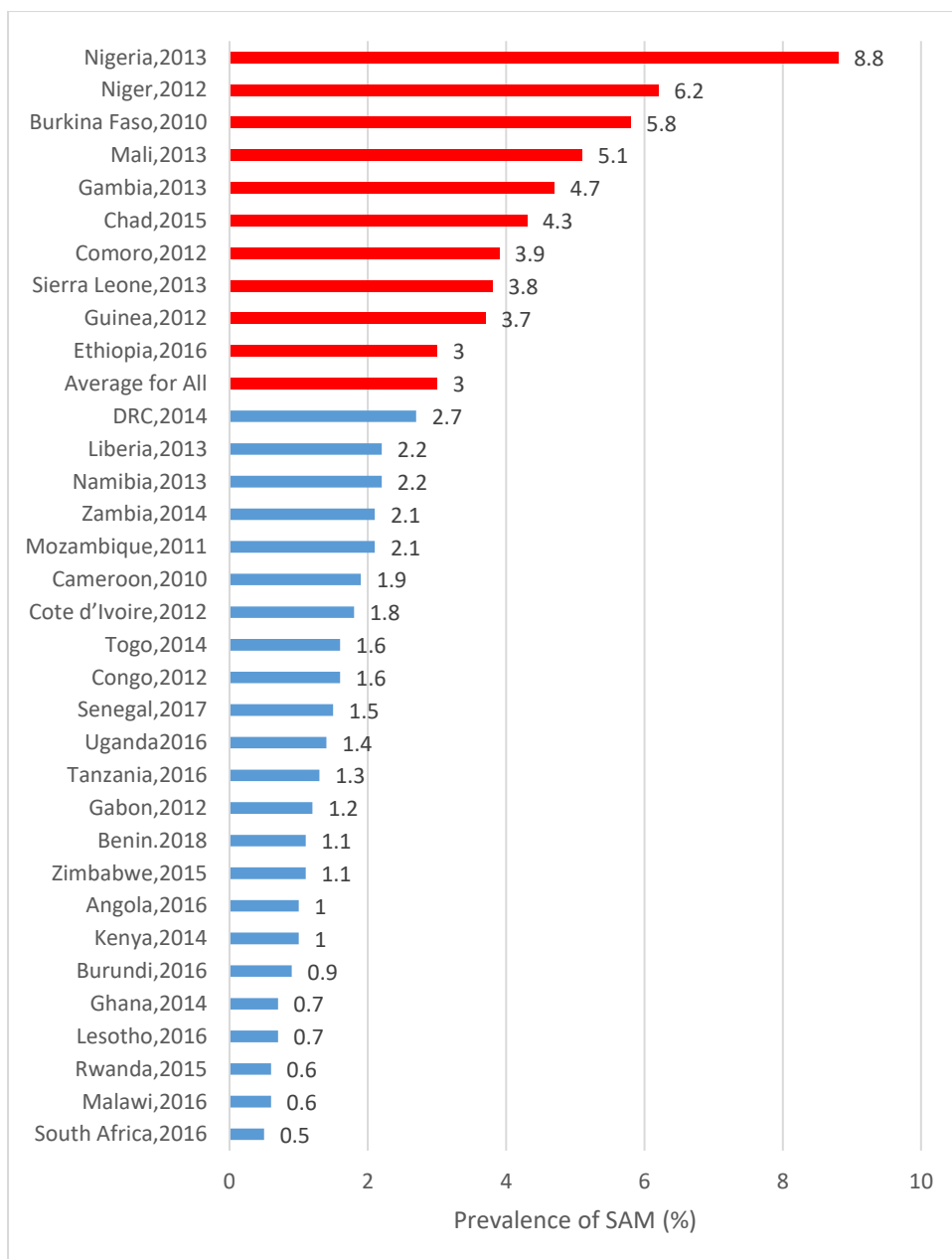
172 The regions of the world, countries, year of data collection, numbers of neighbourhoods,
173 number of under-five children and the weighted prevalence of SAM are listed in Table 1. A
174 total of 33 SSA countries were sampled with the surveys conducted between 2010 and 2018.
175 For this analysis, we analysed information on 210,289 under-five children (Level 1) nested

176 within 17,529 neighbourhoods (Level 2) from 33 SSA countries (Level 3). The median number
 177 of neighbourhoods per country sampled was 531, ranging from 251 in Comoros to 1,592 in
 178 KenyaIndia. The overall SAM prevalence was 3.0% with a median prevalence of 2.6% ranging
 179 from 0.5% in South Africa to 8.8% in Nigeria as shown in Table 1 and Figure 1.

180 Table 1: Description of Demographic and Health Surveys data by countries and SAM
 181 prevalence among under-five children in SSA countries, 2010-2018

Country	Year of Survey	Number of Neighbourhoods	Number of Under-5 Children	Weighted SAM prevalence
All		17,529	210,289	3.0
Eastern Africa		6,283	67,418	1.5
Burundi	2016	554	6,052	0.9
Comoro	2012	251	2,387	3.9
Ethiopia	2016	640	8,919	3.0
Kenya	2014	1,592	18,656	1.0
Malawi	2016	850	5,178	0.6
Mozambique	2011	610	9,313	2.1
Rwanda	2015	491	3,538	0.6
Tanzania	2016	607	8,962	1.3
Uganda	2016	688	4,413	1.4
Middle Africa		3,071	37,136	2.5
Angola	2016	625	6,407	1.0
Cameroon	2010	575	5,033	1.9
Chad	2015	623	9,826	4.3
Congo	2012	384	4,475	1.6
DRC	2014	536	8,059	2.7
Gabon	2012	328	3,336	1.2
Southern Africa		2,441	20,273	1.7
Lesotho	2016	369	1,312	0.7
Namibia	2013	486	1,558	2.2
South Africa	2016	466	1,082	0.5
Zambia	2014	721	11,407	2.1
Zimbabwe	2015	399	4,914	1.1
Western Africa		5,734	85,462	4.7
Benin	2018	555	12,033	1.1
Burkina Faso	2010	572	6,532	5.8
Cote d'Ivoire	2012	350	3,200	1.8
Gambia	2013	276	3,098	4.7
Ghana	2014	418	2,720	0.7
Guinea	2012	300	3,085	3.7
Liberia	2013	322	3,171	2.2
Mali	2013	412	4,306	5.1
Niger	2012	476	4,771	6.2
Nigeria	2013	895	24,505	8.8
Senegal	2017	400	10,787	1.5
Sierra Leone	2013	430	4,069	3.8
Togo	2014	328	3,185	1.6

182



183
184 Figure 1: Distribution of SAM in SSA countries
185

186 In Table 2, we present the descriptive statistics for the pooled sample. One-fifth of the children
187 were infants, more than half of the respondents were male (51 %) and the majority of their
188 mothers were between the 25 and 34 years of age (53 %). Nearly a third (31 %) of their mothers
189 had no formal education while only 16 % belong to households in the richest wealth quintiles.
190 Most of their mothers were currently employed (71 %) and currently married (9481 %). Most
191 (81 %) of the children had drinking water from improved sources but only 50 % had improved
192 toilet types. Most of the children were living in rural areas (69 %), high poverty rate (21 %),
193 high illiteracy rate (29 %), and high unemployment rate (27 %) neighbourhoods. At the country
194 level, 27% lived in countries with a high level of intensity of deprivation and 81% in high

195 percent rural population. All the variables considered at the different levels were significantly
 196 associated with SAM at 5% chi-square test. Also, the bivariate logistics regression models
 197 between each of the explanatory variables and SAM showed that all the variables significantly
 198 predicted SAM at $p=0.05$. Hence, they are all suitable for inclusion in the multivariable models.

199 Table 2: Description of Demographic and Health Surveys data by background characteristics
 200 and SAM prevalence among under-five children in SSA countries, 2010-2018

Characteristics	Weighted %	Weighted SAM prevalence	Pearson X^2 test p-value
Individual Level			
Age			
<12 Months	20.0	7.4	<0.0001
12 - 59 Months	80.0	4.0	
Sex			
Female	48.8	4.3	<0.0001
Male	51.2	5.1	
Maternal Age			
15-24	31.0	5.2	<0.0001
25-34	52.9	4.6	
35-49	16.1	3.8	
Maternal Education			
No Education	31.1	5.8	<0.0001
Primary	25.3	3.1	
Secondary	43.6	4.8	
Wealth Index			
Poorest	23.8	5.6	<0.0001
Poorer	21.8	4.8	
Middle	20.1	4.5	
Richer	18.7	4.2	
Richest	15.5	3.8	
Employment			
Yes	70.8	5.0	<0.0001
No	29.2	3.9	
Access To Media			
No	36.5	5.3	<0.0001
Yes	63.5	4.3	
Drinking Water			
Unimproved	19.2	4.1	<0.0001
Improved	80.8	4.8	
Toilet Type			
Unimproved	49.9	5.2	<0.0001
Improved	50.1	4.1	
Marital Status			
Never Married	2.4	1.9	<0.0001
Currently Married	93.8	4.8	
Formerly Married	3.9	2.4	
Weight At Birth			
Average+	85.4	4.6	<0.0001
Small	10.7	5.0	
Very Small	4.0	6.4	
Birth Interval			
1st	30.4	4.8	<0.0001
<36	37.4	4.9	
36+	32.1	4.2	
Birth Order			
1	30.4	4.8	<0.0001
2	26.0	4.9	

3	16.1	4.6	
4	27.6	4.3	
Neighbourhood factors			
Place of residence			
Urban	30.7	4.2	<0.0001
Rural	69.3	4.9	
Poverty rate			
Low	79.0	4.4	<0.0001
High	21.0	5.7	
Illiteracy rate			
Low	70.7	4.2	<0.0001
High	29.3	5.9	
Unemployment rate			
Low	72.6	5.0	<0.0001
High	27.4	3.8	
Community SES Quintiles			
1 (Highest)	20.2	4.2	<0.0001
2	20.0	4.3	
3	20.1	4.2	
4	20.0	5.0	
5 (Lowest)	19.7	5.8	
Country-level			
Intensity of Deprivation			
Low	73.0	5.0	<0.0001
High	27.0	3.8	
Percent Rural Population			
Low	18.6	3.0	<0.0001
High	81.4	5.1	
Multidimensional Poverty Index			
Low	74.7	5.7	<0.0001
High	25.3	1.8	
Total	100.0	4.7	

201

202 Further Multivariable Analysis is ongoing

203 Discussion

204 Since the WHO declared war against SAM, there is a paucity of information on the relationship
 205 between contextual and societal factors in the distribution of SAM among under-five children.
 206 The current study appeared to have been the first multilevel examination of factors associated
 207 with SAM across the globe wherein we considered 33 SSA countries using national
 208 representative data consisting of 210,289 children found in 17,529 neighbourhoods.

209 Conclusions

210 In conclusion, at 3.0 % prevalence, over twelve million under-five children in the SSA
 211 countries have SAM. Individual compositional and contextual measures of socioeconomic
 212 position were independently associated with the having SAM across the 33 SSA countries.
 213 These findings underscore the need to revitalize existing policies and implement interventions
 214 to rescue and stop children from having SAM at the individual-, community- and societal-
 215 levels.

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